

**REQUEST FOR QUOTATION (RFQ)**

Date: 12 July 2013

Dear Sir/Madam,

**Subject:** Request for Quotation for services « Model sub-basin Essential Fish Habitat in Russia»**Project:** 00078317, Integrated Natural Resource Management in the Baikal Basin  
Transboundary Ecosystem**Case reference:** RFQ\_GPSO\_2013-063 (IWC-00078317)

1. The United Nations Office for Project Services (hereinafter “UNOPS”) is pleased to invite you to submit a quotation for supply of the services described in Annex I to this Request for Quotation.
2. We would appreciate receiving your quotation on or before **01 August 2013 10:00 A.M. Irkutsk time** via e-mail [DmitriP@unops.org](mailto:DmitriP@unops.org) (it must not exceed 8MB) or fax +73012 338030 to the attention of **Dmitry Popov**. Please specify above project and reference number on your quotation.
3. Any requests for clarification should be referred to:  
Contact Person: Dmitry Popov  
Office: UNOPS  
Address: Room: 13,  
8, Sakhyanovoy Str.,  
670047, Buryatia, The Russian Federation  
  
Telephone: +7 3012 415759  
Fax +7 3012 338030  
E-Mail: [DmitriP@unops.org](mailto:DmitriP@unops.org)
4. Your quotation shall include the following:
  - Brief approach and methodology as well as CV(s) of proposed staff/personnel in response to the Terms of Reference as per Annex I.
  - Fixed overall quotation in a single currency (see Annex II) with the following structure:  
  
Name(s) of consultant(s)  
Number of working days and daily fee  
Daily subsistence allowance  
Number of international travels and cost  
Number of local travels and costs  
Other costs  
TOTAL
  - Completed Previous Experience Form (see Annex III)
5. UNOPS evaluates the quotations based on best value, i.e. best quality and cost-effectiveness of the proposed offers. The following aspects will be considered for the evaluation:

- (a) Suitability of the approach and methodology including firm's capacity to undertake the services
  - (b) Qualifications and suitability of the staff/personnel proposed for the assignment including their previous experience with same type of assignment
  - (c) Cost-effectiveness of price quotation
6. A contract may be awarded to the bidder having submitted the quotation representing the best value for UNOPS. However, UNOPS reserves the right to accept or reject any quotation, and to cancel the process and reject all quotations, at any time prior to the award of contract, without thereby incurring any liability to the Bidders or any obligation to inform the Bidders of the grounds for such action.
  7. UNOPS reserves the right to make multiple arrangements for any item or items.
  8. In the event of a Contract the UNOPS General Conditions will apply. The conditions are available at <http://www.unops.org/english/whatweneed/Pages/Guidelinesforsuppliers.aspx> under "UNOPS general conditions of contract": UNOPS Conditions of Services - For contracts of a value of less than USD 50,000. (Annex IV)
  9. **Supplier eligibility.** Suppliers shall not be eligible to submit an offer and to be awarded a contract when at the time of bid submission:
    - (a) Suppliers are already suspended by UNOPS, or,
    - (b) Supplier's names are mentioned in the UN 1267 list of Terrorists issued by the Security Council resolution 1267, which establishes a sanctions regime to cover individuals and entities associated with Al-Qaida and/or the Taliban, or,
    - (c) Suppliers are suspended by the UN Procurement Division (UN/PD), or,
    - (d) Suppliers have been declared ineligible by the World Bank.

Furthermore, as a condition of doing business with UNOPS it is necessary that suppliers, their subsidiaries, agents, intermediaries and principals cooperate with the Office of Internal Oversight Services (OIOS) of the United Nations, UNOPS Internal Audit and Investigations Group (IAIG) as well as with other investigations authorized by the Executive Director and with the UNOPS Ethics Officer (during preliminary reviews in line with UNOPS whistle blower policy) as and when required. Such cooperation shall include, but not be limited to, the following: access to all employees, representatives, agents and assignees of the supplier; as well as production of all documents requested, including financial records. Failure to fully cooperate with investigations will be considered sufficient grounds to allow UNOPS to repudiate and terminate the contract, and to debar and remove the supplier from UNOPS's list of registered suppliers.

**10. Information regarding Bid Protest can be found at:**

<http://www.unops.org/english/whatwedo/services/procurement/Pages/Procurementpolicies.aspx>

11. UNOPS strongly encourages all Bidders to subscribe to the Tender Alert Service available on the United Nations Global Market Place ([www.ungm.org](http://www.ungm.org)). This will allow Bidders to be notified automatically of all UNOPS business opportunities for the products and services for

which they have registered. Instructions on how to subscribe to the Tender Alert Service can be found in the UNGM Interactive Guide for Suppliers.

12. UNOPS will effect payment within 30 days after receipt of original payment documentation.

We look forward to receiving your quotation.

Yours sincerely,  
Sergey Kudelya  
Project Manager  
EMO/IWC  
UNOPS  
Room: 13,  
8, Sakhyanovoy Str.,  
670047, Buryatia, The Russian Federation  
Telephone: +7 3012 415759  
Fax +7 3012 338030  
E-Mail: [SergeyK@unops.org](mailto:SergeyK@unops.org)



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## ANNEX I - TERMS OF REFERENCES

### Terms of Reference (TOR) for Local Service Contract

#### Model sub-basin Essential Fish Habitat in Russia

<b>Location :</b>	<b>The Russian Federation</b>
<b>Application Deadline :</b>	<b>22-July-2013</b>
<b>Type of Contract :</b>	<b>Service Contract</b>
<b>Post Level :</b>	<b>Local</b>
<b>Languages Required :</b>	<b>English, Russian</b>
<b>Starting Date :</b>	<b>01-Aug-2013</b>
<b>Duration of Initial Contract :</b>	<b>01-Aug-2013 – 30- November- 2013</b>
<b>Expected Duration of Assignment:</b>	<b>4 months</b>

#### **Background:**

The project's objective is to spearhead integrated natural resource management of Baikal Lake Basin and Hovsgol Lake ensuring ecosystem resilience, reduced water quality threats in the context of sustainable economic development. The project has three primary components: elaborating a strategic policy and planning framework; strengthening institutional capacity for IWRM; and demonstrating water quality and biodiversity mainstreaming practice, including groundwater monitoring and protection.

This project builds upon a solid, decades-old baseline of bilateral cooperation between Russia and Mongolia on the transboundary waters of the Selenga River and by extension the Baikal Basin itself. To date, international support for environmental conservation and management in the Baikal Basin has not been transboundary in orientation; little support has been provided the two countries in strengthening their transboundary cooperation to manage sustainably the globally significant environmental benefits represented by the incomparable Lake Baikal and its transboundary Basin, at the top of which lies Mongolia's aquatic jewel, Lake Hovsgol. In addition to this solid baseline of transboundary cooperation are two rapidly growing economic baselines in mining and tourism, with mining being the biggest and fastest growing economic activity in the Baikal Basin and tourism a smaller but also rapidly growing sector in both the Russian and Mongolian portions of the Baikal Basin. Both of these sectors hold much promise in becoming better stewards of the Baikal Basin's aquatic ecosystems. In the absence of a GEF investment, these barriers are likely to continue hampering an effective transboundary response to the critical threats that are already impacting the ecosystem health and resilience of the Baikal Basin.

Successful implementation of a regional project like "Integrated Natural Resource Management in the Baikal Basin Transboundary Ecosystem", to a large degree depends on effective implementation and ownership of project-inspired work at the national and local levels.

#### **Justification:**

Destruction and/or modification of critical riparian, forest, and steppe habitats are increasing threats to Baikal's biodiversity and ecosystem health. Resource exploitation and associated infrastructure within the Baikal Basin is spurring the degradation and destruction of wetland areas. These actions alter the flow dynamics of the watershed, restrict buffering and filtration capacity, compound erosion problems and degrade aquatic habitats.

Lake Baikal as a whole remains relatively clean due to mixing and the sheer volume of the lake. However localized contamination and eutrophication events are increasing. Signs of stress on the ecosystem are becoming more apparent. There is also evidence of declines in the population of the zooplankton species: *Epischura baicalensis*, in some coastal areas. This tiny indigenous shrimp provides a key link in the food chain and is a vital cog in the Lake's filtration system. At present, the populations of almost all fish in Lake Baikal are in a distressed state; their fatness and productivity have been almost halved over the past 30 years. Their reproduction is disturbed by the pollution of spawning habitat, especially in the Selenga River where the largest populations of most fish species used to spawn.

Fish species at highest risk include the Baikal Sturgeon, the Frolikh Char, the Baikal White Grayling, the Taimen and Tench (all listed in the Russian Red Book). The Frolikh Char has not been caught in Baikal waters for 40 years, and the Sturgeon has been the focus of more than half a century of breeding efforts yet remains significantly threatened, and the Taimen has witnessed catastrophic reductions in population numbers throughout much of its range in the Baikal Basin in recent years.

Studies suggest that climate and human-induced changes have significantly impaired fish populations in the Baikal tributaries in Mongolia and Russia. Some rivers in the Selenga basin, where alluvial gold is mined and where considerable silting has occurred, no longer provide suitable conditions for Taimen, Lenok and Siberian Grayling spawning. Overall, the region has witnessed a reduction in the species numbers. There is evidence of increasing spread of alien invasive fish and plant species as a result of the suppression of indigenous species. Negative effects of invasive species in Baikal are beginning to be seen in the naturalization of the Amur sleeper in the water bodies of the Selenga River Delta. Expansion of this species in the Baikal littoral zone is ongoing, particularly in the near-estuary sections of tributaries on the eastern shore of the Lake. With respect to aquatic species, high toxicity levels are present in the Baikal seal population (Nerpa), which experienced massive mortalities between 1997 and 1999.

### **Development objective:**

The project will achieve improved management and conservation of globally significant biodiversity, threatened and near-threatened species outside protected areas, in designated Essential Fish Habitats, with populations of target fish species (Taimen, Lenok and Grayling) stable or increasing.

Location of strongholds of aquatic biodiversity and ecosystem health in the Basin as well as degraded watersheds to facilitate maintaining the former and improving the latter. This will include a more detailed gap analysis and mapping of existing data on protected areas, biodiversity, and productive sector practices and their impact (Essential Fish Habitat (EFH), PA coverage, species distribution, abundance and condition, active mining claims) in both countries. The mapping analysis will be driven by the joint objective to conserve biological diversity and strengthen aquatic ecosystem resilience in the Baikal Basin.

The purpose of this study is to carefully describe EFH and prepare EFH maps. Additionally fish stock assessment and recommendations will be provided.

### **Immediate objective(s)**

In the course of Output 1.1., the following tasks are to be performed within the scope of the work:

- to make an analytical review of scientific and technical literature;
- to collect data about EFH and fish stock from all possible sources;
- to estimate fish stock on the Baikal Basin;
- to prepare EFH maps;
- to develop fish stock recommendations;

### **Specific Deliverables:**

The work is expected to deliver the following results:

- an analytical review of scientific and technical literature;
- EFH descriptions;
- EFH maps;
- fish stock recommendations;

### **Expected Outputs, reports and related logistics:**

- Detailed calendar plan for activities at the end of first 15 days.
- Final report.
- EFH maps in ArcView format and pictures.

Payment will be made in 2 installments based on the acceptance of the required deliverables by the Project Manager as specified below:

- 30% payment as a first installment upon the submission of the detailed calendar plan - no later 15 August 2013.
- 70% remaining payment upon submission of the final report - no later 15 November 2013.

## **Приблизительный перевод**

**(английский текст имеет преимущественное значение)**

### **Цель работы:**

Дать описание распространения и нанести на карты ареалы обитания основных представителей ихтиофауны Байкала и его бассейна. Характеризовать состояние запасов рыб и предложить, в случае необходимости, меры по сохранению и восстановлению численности в пределах бассейна Байкала.

### **Ожидаемые результаты:**

- сбор, систематизация и анализ имеющихся литературных источников информации о местах обитания рыб в бассейне Байкала;

- оценка численности отдельных представителей ихтиофауны;
- картирование ареалов распространения конкретных видов рыб в пределах озера Байкал и водных объектах его бассейна;
- разработка рекомендаций по численности и мерам охраны и восстановлению численности редких и ценных представителей ихтиофауны.

**Ожидаемые отчеты и сроки:**

- Детализированный календарный план деятельности в рамках контракта (в течение 15 дней);
- Окончательный отчет.
- Карты ареалов распространения рыб в формате ArcView и виде изображений.

Платеж будет осуществлен 2 частями на основе принятия руководителем проекта требуемых отчетов в соответствии со следующим графиком:

- 30 % – на основании предоставления календарного плана деятельности – не позднее, чем 15 Августа 2013 года;
- 70 % – на основании предоставления окончательного отчета и карт – не позднее, чем 15 ноября 2013;

**ANNEX II. FINANCIAL OFFER**

Name(s) of consultant(s) (a)	Number of working days (b)	Daily fee (c)	Daily subsistence allowance (d)	Total (e)=(b)x((c)+(d))
<b>GRAND TOTAL</b>				

International/National travels (a)	Quantity (b)	Cost (c)	Currency (d)	Total (e)=(b)x(c)
<b>GRAND TOTAL</b>				

Other Costs (description)	Cost
<b>GRAND TOTAL</b>	

**ANNEX III: PREVIOUS EXPERIENCE FORM**

<b>Previous Experience</b>				
<b>Description of services/goods/works/</b>	<b>Country</b>	<b>Total amount of contract</b>	<b>Contract Identification and Title and Contact details of Client: (Name, Address, telephone, email, fax)</b>	<b>Year project was undertaken</b>

Authorized Signature: \_\_\_\_\_

Date: \_\_\_\_\_

## **ANNEX IV - UNOPS CONDITIONS OF SERVICES**

**1-Contractor's Status** In all matters relating to this Contract, the Contractor shall be acting as an independent contractor. Neither the Contractor nor its employees are the employees of UNOPS. The Contractor assumes all liabilities or obligations imposed by any law or regulation with respect to such employees. The Contractor shall not have the authority to create any obligation on behalf of UNOPS and shall not represent itself as an agent, employee or in any other capacity of UNOPS. The Contractor shall be responsible for the professional and technical competence of its employees, who shall be expected to respect local customs and conform to a high standard of moral and ethical conduct.

**2-Damage to Persons and Property** The Contractor shall indemnify and hold harmless UNOPS, its officers, agents, employees and servants from and against all suits, claims, demands, proceedings, and liability of any nature or kind, including costs and expenses, for injuries or damages to any person or any property whatsoever which may arise out of or in consequence of acts or omissions of the Contractor or its agents, employees, servants or subcontractors in the execution of this Contract.

**3-Intellectual Property Rights** All intellectual property and other proprietary rights, including but not limited to patents, copyrights and trademarks, in all countries, with regard to maps, drawings, photographs, mosaics, plans, manuscripts, records, reports, recommendations, estimates, documents and other materials, except pre-existing materials, publicly or privately owned, collected or prepared as a consequence of or in the course of the performance of this Contract, shall become the sole property of UNOPS. The Contractor shall hold harmless and fully indemnify UNOPS from and against all claims and proceedings for infringement of any patent rights, design trademark or name or other protected rights resulting from Contractor's performance.

**4-Confidentiality** All maps, drawings, plans, reports, documents and all other data compiled by or received by the Contractor under the Contract shall be the property of UNOPS, shall be treated as confidential and shall be delivered only to the duly authorized representative of UNOPS upon completion of the Services.

**5-Advertising** The Contractor shall not advertise or otherwise make public the fact that it is performing, or has performed services for UNOPS or use the name, emblem or official seal of UNOPS or the United Nations or any abbreviation of the name of UNOPS or the United Nations for advertising purposes or any other purposes.

**6-Modifications** Any modification or change to this Contract shall require an amendment in writing between both parties duly signed by the authorized representatives of the Contractor and UNOPS.

**7-Sub-contracting and Assignment of Contract** The Contractor shall not sub-contract the Services or otherwise assign, transfer, pledge or make other disposition of this Contract or any part thereof or of any of the Contractor's rights, claims or obligations under this Contract.

**8-Termination** UNOPS may terminate this Contract for cause or convenience in the interest of the UNOPS upon not less than fourteen (14) days written notice to the Contractor. Upon termination of this Contract, the Contractor shall take immediate steps to terminate his performance of the Contract in a prompt and orderly manner and to reduce losses and to keep further expenditures to a minimum. Unless such termination has been occasioned by the Contractor's breach of this Contract, the Contractor shall be entitled to be paid for the part of the Services satisfactorily completed as of the date of termination, plus substantiated costs resulting from commitments entered into prior to the date of termination as well as any reasonable substantiated direct costs incurred by the Contractor as a result of the termination, but shall not be entitled to receive any other or further payment or damages.

**9-Privileges and Immunities** Nothing in or relating to this Contract shall be deemed a waiver of any of the privileges and immunities of the United Nations of which the UNOPS is an integral part.

**10-Settlement of Disputes** Any controversy or claim arising out of or in connection with provision of this Contract or any breach thereof, shall, unless resolved through direct negotiation, be settled in accordance with the UNCITRAL Arbitration Rules then in force. UNOPS and the Contractor shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy or claim.